

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of

Confirmation No. 5731

Akihisa HONGO et al.

Docket No. 2001 0133A

RECEIVED

Serial No. 09/762,582

Group Art Unit 1753

NOV 0 3 2003

Filed April 12, 2001

Examiner Brian Mutschler

TC 1700

SUBSTRATE PLATING METHOD AND APPARATUS

INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

ACCOUNT NO. 23-0975

Sir:

Pursuant to the provisions of 37 CFR 1.56, 1.97 and 1.98, Applicants request consideration of [X] the references listed on attached form PTO-1449 and/or [] the additional information identified below in paragraph 3. A legible copy of each reference listed on the form PTO-1449 and each U.S. patent application listed below is enclosed, except a copy is not provided for each reference previously cited by or submitted to the Patent Office in prior parent application Serial No. .

This Information Disclosure Statement is submitted: 1a.

> within three months of the filing date (or of entry into the National Stage) of the aboveentitled application, or

before the mailing of a first Office Action on the merits or the mailing of a first Office Action after the filing of an RCE,

and thus no certification and/or fee is required.

1b. [X] This Information Disclosure Statement is submitted

after the events of above paragraph 1a and prior to the mailing date of a final Office Action or a Notice of Allowance or an action which otherwise closes prosecution in the application, and thus:

- (1) [] the certification of paragraph 2 below is provided, or
- (2) [X] the fee of \$180.00 specified in 37 CFR 1.17(p) is enclosed.
- 1c. [] This Information Disclosure Statement is submitted:

after the mailing date of a final Office Action or Notice of Allowance or action which otherwise closes prosecution in the application, and prior to payment of the issue fee, and thus:

the certification of paragraph 2 below is provided, and

the fee of \$180.00 specified in 37 CFR 1.17(p) is enclosed.

- 2. It is hereby certified
 - a. [] that each item of information contained in this Information Disclosure Statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the Statement, or
 - b. [] that no item of information contained in the Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application and, to the knowledge of the person signing the certification after making reasonable inquiry, was known to any individual designated in §1.56(c) more than three months prior to the filing of the Statement.
- 3. [] Consideration of the following list of additional information (including any copending or abandoned U.S. application, prior uses and/or sales, etc.) is requested.

4.	For each non-English language reference listed on the attached form PTO-1449, reference is made to:							
	a. []	a full or partial English language translation submitted herewith,						
	b. []	a foreign patent office search report (in the English language) submitted herewith,						
	c. []	the concise explanation contained in the specification of the present application at page,						
	d. []	the concise explanation set forth in the attached English language abstract,						
	e. []	the concise explanation set forth below or on a separate sheet attached to the reference:						
5.	[] A fo	oreign patent office search report citing one or more of the references is enclosed.						
		Respectfully submitted,						
		Akihisa HONGO et al.						
		David M. Ovedovitz Registration No. 45,336						
DMO/	'imi	Attorney for Applicants						

DMO/jmj Washington, D.C. 20006-1021 Telephone (202) 721-8200 Facsimile (202) 721-8250 October 27, 2003

Sheet 1 of 1									
FORM PTO 1449 (modified) U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE LIST OF REFERENCES CITED BY APPLICANT(S) (Use several sheets if necessary) Date Submitted to PTO: October 27, 2003				ATTY DOCKET NO. SERIAL 2001_0133A 09/762					
				APPLICANT Akihisa HONGO et al.					
				FILING DATE April 12, 2001		GROUP 1753			
			U	S. PATENT DOCUMENTS					
*EXAMINER INITIAL		DOCUMENT NUMBER	DATE	. NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE		
OIFE	AA	6,258,223	7/2001	Cheung et al.	-				
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	AC	6,258,220	7/2001	Dordi et al.	· 	NU\	/ 0 3 2003		
RADEMA	AD	6,267,853	7/2001	Dordi et al.	·	10	, 1700		
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	FOREIGN PATENT DOCUMENTS								
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	· ·	OTHER	DOCUMENT(S)	(Including Author, Title, Date, Pertinen	nt Pages, Etc.)				
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EXAMINER -	<u> </u>			DATE CONSIDERE		7	 .		

^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of form with next communication to applicant.